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| TRANSMITTAL  |  | Application Numbe                             | r                 | 10/520,836  |      |  |
|--|--|---|-------------------|---|------|--|
|  |  | Filing Date                                   |                   | January 7, 2005   |      |  |
| FORM   | First Named Invent   | tor   | Michael R. Costa  |   |      |  |
|  |  | Art Unit                                      |                   |   |      |  |
| (to be used for all correspondence after in  | Examiner Name  |   | Karen M. williams |   |      |  |
| Total Number of Pages in This Submission 11  |  | Attorney Docket No                            | umber             | EX03-0500   | C-US |  |
|  |  |   |                   |   |      |  |
| ENCLOSURES (check all that apply)    State   Communication   C |  |   |                   |   |      |  |
| Fee Transmittal Form   |  | related Papers                                |                   | Appeal Communication to Board   |      |  |
| Amendment / Reply  | Petition   | ·   |                   | of Appeals and Interferences  Appeal Communication to TC  (Appeal Notice, Brief, Reply Brief) |      |  |
| After Final  |  | Convert to a al Application                   |                   | Proprietary Information   |      |  |
| Affidavits/declaration(s)  | Power of A   | Attorney, Revocation<br>of Correspondence Add | ress              | Status Letter   |      |  |
| Extension of Time Request  | Terminal I   | Disclaimer                                    |                   | Other Enclosure(s) (please identify below):   |      |  |
| Express Abandonment Request  | Request f  | or Refund                                     |                   | Executed Declaration (7 pgs) Return Postcard Copy of Notification of Missing                  |      |  |
| ☐ Information Disclosure Statement   | Lan  | ndscape Table on CD                           |                   | Requiremen  |      |  |
| Certified Copy of Priority Document(s)   | Remarks  |   |                   |   |      |  |
| Reply to Missing Parts/ Incomplete Application   |  |   |                   |   |      |  |
| Reply to Missing Parts under 37 CFR1.52 or 1.53  |  |   |                   |   |      |  |
| SIGN   | ATURE OF   | APPLICANT, ATTO                               | RNEY, OF          | RAGENT  |      |  |
| Firm   | Exelixis, Inc. P.O. Box 511, 170 Harbor Way South San Francisco, CA 94083-0511 |   |                   |   |      |  |
| Signature  |  |   |                   |   |      |  |
| Printed Name   | Laleh Shayesteh  |   |                   |   |      |  |
| Date   | September 15, 2  |   |                   | 47,937  |      |  |
|  |  | TE OF TRANSMISS                               |                   |   |      |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  |  |   |                   |   |      |  |
| Signature (10 out what was   |  |   |                   |   |      |  |
| Typed or printed name Carolyn W  |  |   | Date              | September 15, 2005  |      |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| FEE TRANSMITTAL for FY 2005  |   |                         | Complete if Known           |                                  |              |                                    |  |   |           |  |
|--|---|-------------------------|-----------------------------|----------------------------------|--------------|------------------------------------|--|---|-----------|--|
|  |   |                         | Applic                      | Application Number 10/520,836    |              |                                    |  |   |           |  |
|  |   |                         | Filing Date                 |                                  |              | January 7, 2005                    |  |   |           |  |
| 101 1 1 2003   |   |                         | First Named Inventor        |                                  |              | Michael R. Costa                   |  |   |           |  |
| Effective 10/01/2004. Patent fees are subject to annual revision.  |   |                         |                             |                                  |              |                                    |  |   |           |  |
| Applicant claims small actity status. See 27 CER 1.27  |   |                         | Examiner Name               |                                  |              | Kare                               | Karen M. Williams  |   |           |  |
| Applicant claims small entity status. See 37 CFR 1.27  |   |                         | Art Ur                      | Art Unit                         |              |                                    |  |   |           |  |
| TOTAL AMOUNT OF PAYMENT (\$) 130   |   |                         | Attorn                      | Attorney Docket No. EX03-050C-US |              |                                    |  |   |           |  |
| METHOD OF PAYMENT (check all that apply)   |   |                         | FEE CALCULATION (continued) |                                  |              |                                    |  |   |           |  |
|  |   |                         | 3. ADDITIONAL FEES          |                                  |              |                                    |  |   |           |  |
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order   |   |                         | Large Entity   Small Entity |                                  |              |                                    |  |   |           |  |
| ☑ Deposit Acc  | ount:   |                         |                             | Fee                              | Fee          | Fee                                | Fee  |   |           |  |
| Deposit  |   |                         |                             | Code                             | (\$)         | Code                               | (\$)   | Fee Description Fee I   |           |  |
| Account<br>Number  | 50-1108   |                         |                             | 1051                             | 130          | 2051                               | 65   | Surcharge - late filing fee or oath   | 130.00    |  |
| Number   |   | -                       |                             | 1052                             | 50           | 2052                               | 25   | Surcharge - late provisional filing fee or cover<br>sheet.                      |           |  |
| Deposit  | 50-1108   |                         |                             | 1053                             | 130          | 1053                               | 130  | Non-English specification   |           |  |
| Account<br>Name  | 30-1100   |                         |                             | 1812                             | 2,520        | 1812                               | 2,520  | For filing a request for ex parte reexamination                                 |           |  |
|  | authorized to: (checi   |                         |                             | 1804                             | 920*         | 1804                               | 920°   | Requesting publication of SIR prior to<br>Examiner action                       |           |  |
| ☐ Charge fee(s) indicated below    ☐ Credit any overpayments     ☐ Charge any additional fee(s) or any underpayment of fee(s)     ☐ Charge fee(s) indicated below, except for the filing fee |   |                         | 1805                        | 1,840*                           | 1805         | 1,840*                             | Requesting publication of SIR after<br>Examiner action                     |   |           |  |
| to the above-identified deposit account.   |   |                         | 1251                        | 110                              | 2251         | 55                                 | Extension for reply within first month                                     |   |           |  |
| FEE CALCULATION  |   |                         | 1252                        | 430                              | 2252         | 215                                | Extension for reply within second month                                    |   |           |  |
| 1. BASIC, FILING FEE   |   |                         | 1253                        | 980                              | 2253         | 490                                | Extension for reply within third month                                     |   |           |  |
| Large Entity   | Small Entity  | <b>5</b>                |                             | 1254                             | 1,530        | 2254                               | 765  | Extension for reply within fourth month   |           |  |
| Fee Fee<br>Code (\$)   | Fee Fee <u>Fee</u><br>Code (\$)   | <u>Description</u>      | Fee Paid                    | 1255                             | 2,080        | 2255                               | 1,040  | Extension for reply within fifth month  |           |  |
| 1001 790   |   | y filing fee            |                             | 1401                             | 340          | 2401                               | 170  | Notice of Appeal  |           |  |
| 1002 350   |   | ign filing fee          |                             | 1402                             | 340          | 2402                               | 170  | Filing a brief in support of an appeal  |           |  |
| 1003 550   |   | t filing fee            |                             | 1403                             | 300          | 2403                               | 150  | Request for oral hearing  |           |  |
| 1004 790   |   | sue filing fee          | <u> </u>                    | 1451<br>1452                     | 1,510<br>110 | 1451<br>2452                       | 1,510<br>55  | Petition to institute a public use proceeding  Petition to revive – unavoidable |           |  |
| 1005 160 2005 80 Provisional filling fee   |   | 1452                    | 1,370                       | 2452                             | 685          | Petition to revive – unintentional |  |   |           |  |
| SUBTOTAL (1) (\$) 0  |   |                         | 1501                        | 1,370                            | 2501         | 685                                | Utility issue fee (or reissue)   |   |           |  |
| 2 FYTRACI  | AIM FEES FOR IT   | TILITY AND REIS         | SUF                         | 1502                             | 490          | 2502                               | 245  | Design issue fee  |           |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from   |   |                         | 1503                        | 660                              | 2503         | 330                                | Plant issue fee  |   |           |  |
| Total Claims   | -20 ** = 0  | laims below             | Fee Paid                    | 1460                             | 130          | 1460                               | 130  | Petitions to the Commissioner   |           |  |
| Independent  |   | ╡^⊨                     | =                           | 1807                             | 50           | 1807                               | 50   | Processing fee under 37 CFR 1.17 (q)  |           |  |
| Claims   | -3 ** = 0   | X                       | = 0                         | 1806                             | 180          | 1806                               | 180  | Submission of Information Disclosure Stmt                                       |           |  |
| Multiple<br>Dependent  |   | x                       | = 0                         | 8021                             | 40           | 8021                               | 40   | Recording each patent assignment per<br>property (times number of properties)   |           |  |
| Large Entity   | Small Entity  |                         |                             | 1809                             | 790          | 2809                               | 395  | Filing a submission after final rejection                                       |           |  |
| Fee Fee<br>Code (\$)   | Fee Fee<br>Code (\$)  | Fee Description         |                             | 1810                             | 790          | 2810                               | 395  | (37 CFR § 1.129(a))  For each additional invention to be                        | <b>  </b> |  |
| 1202 18  |   | Claims in excess of 20  |                             | '"'                              | , 50         | 2010                               | 000  | examined (37 CFR § 1.129(b))  |           |  |
| 1201 88  |   | Independent claims in e |                             | 4004                             | 700          | 0004                               | 205  | Paguest for Continued Exemination (PCE)   |           |  |
|  | 300 2203 150 Multiple dependent claim, if not paid "Reissue independent claims over |                         | 1801                        | 790                              | 2801         | 395                                | Request for Continued Examination (RCE)  Request for expedited examination | $\vdash$  |           |  |
| 1204 88 2204 44 original patent  |   | 1802                    | 900                         | 1802                             | 900          | of a design application            |  |   |           |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  |   |                         | ميد.                        | no (o= ==:                       | 6.0          |                                    |  |   |           |  |
| FRAY: 00000063 503108 súBTOTAL(2) (\$) 0   |   |                         |                             | Other fee (specify)              |              |                                    |  |   |           |  |
| *Reduced by Basic Filing Fee Paid  *Tor number previously paid, if greater, For Reissues, see above  *Reduced by Basic Filing Fee Paid  SUBTOTAL (3) (\$) 130                                |   |                         |                             |                                  |              |                                    |  |   |           |  |
| SUBMITTED BY   | <del> </del>  |                         |                             |                                  |              |                                    |  | Complete (if applicable)  |           |  |
|  | T   |                         |                             |                                  |              |                                    |  |   |           |  |

650 837-8223 Name (Print/Type) Laleh Shayesteh Registration No. (Attorney/Agent) 47,937 Telephone September 15, 2005 Signature

> WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

03/24/5005 da F0:1617

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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## United States Patent and Trademark Office

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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/520,836

Michael R Costa

EX03-050C-US

INTERNATIONAL APPLICATION NO.

PCT/US03/21382

LA. FILING DATE

PRIORITY DATE

07/09/2003

07/10/2002

PATENT DEPT EXELIXIS, INC. 170 HARBOR WAY P.O. BOX 511 SOUTH SAN FRANCISCO, CA 94083-0511

**CONFIRMATION NO. 9342** 371 FORMALITIES LETTER OC000000016536379\*

Date Mailed: 07/15/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/07/2005
- Copy of the International Search Report filed on 01/07/2005
- Information Disclosure Statements filed on 03/24/2005
- Biochemical Sequence Diskette filed on 01/07/2005
- Oath or Declaration filed on 01/07/2005
- Biochemical Sequence Listing filed on 01/07/2005
- Request for Immediate Examination filed on 01/07/2005
- U.S. Basic National Fees filed on 01/07/2005
- Priority Documents filed on 01/07/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR) 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

## KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/520,836                  | PCT/US03/21382                | EX03-050C-US     |

FORM PCT/DO/EO/905 (371 Formalities Notice)